## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application	)	
WALTON et al.	) For:	METHOD AND APPARATUS
	)	FOR ALLOCATING
	)	DOWNLINK RESOURCES IN A
Serial No.: UNKNOWN	)	MULTIPLE-INPUT MULTIPLE
	)	OUTPUT (MIMO)
Examiner: UNKNOWN	)	COMMUNICATION SYSTEM
Filed: HEREWITH	) ) Group No.	UNKNOWN

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Dear Commissioner:

Applicants through their attorney submit herewith, in accordance with 37 CFR §1.98, a list references of which they are aware, which they believe may be material to the examination of this application and with respect to which there may be a duty to disclose in accordance with 37 CFR § 1.56.

## **CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))** I hereby certify that this correspondence is, on the date shown below, being: **MAILING FACSIMILE** deposited with the United States Postal Service transmitted by facsimile to the Patent and via Express Mail addressed to Mail Stop Patent Trademark Office. Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Depositor's Name: Depositor's Name: Christine Hughey (type or print name) (type or print name) Date: \_ Date: 10/29/2003 Signature:

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Attorney Docket No.: 010248C1

Customer No.: 23696

**PATENT** 

These have been previously submitted in the Allowed U.S. application serial no.

09/859,345, filed 05/16/2001, entitled "Method And Apparatus For Allocating Downlink

Resources In A Multiple-Input Multiple-Output (MIMO) Communication System," and currently

assigned to the assignee of the present application.

While the references identified herein may be material to the examination of this

application pursuant to 37 CFR § 1.56, the citation of these references is not intended to

constitute an admission that any reference referred to herein is prior art to the invention of this

application unless specifically designated as such.

The filing of this document shall not be construed to mean that any search has been made

or, that if made such search was complete or exhaustive, or that no other material information as

defined in 37 CFR § 1.56 exists.

A list of the references cited herein is set forth on Form PTO-1449 which is enclosed

herewith. In accordance with 37 CFR § 1.98(d) Applicants are not required to submit copies of

the references and accordingly have not provided copies herewith. Applicants respectfully

request that the Examiner return to Applicants the enclosed copy of the Form PTO-1449

indicating consideration of the references.

The subject application is believed patentable over any of the above-references.

Respectfully submitted,

Dated:

10/29/2003

QUALCOMM Incorporated

5775 Morehouse Drive

San Diego, California 92121

Telephone:

(858) 651-4125

Facsimile:

(858) 658-2502

Attorney Docket No.: 010248C1

Customer No.: 23696

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. APP		APPLICATION NO.					
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		010248C1 Un			nknown				
(Use several sheets if necessary)		WALTON ET AL.							
		FILING DATE		GR	OUP				
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EVANUED T	5 / 1		U.S. PA	TENT DOCUMENTS					======
EXAMINER INITIAL	Ref No	DOCUMENT NUMBER	DATE	NAME CLASS			CLASS	SUB CLASS	FILING DATE IF APPRO- PRIATE
A	1	5,903,554	05/11/1999	Saints					
A	2	5,265,119	11/23/1993	Gilhousen et al.					
AS	3	5,056,109	10/08/1991	Gilhousen et al.					
A	4	5,799,005	08/25/1998	Soliman					
A!	5	6,097,972	08/01/2000	Saints et al.					
A	6	6,212,242B1	04/03/2001	Smith et al.					
A	7	6,335,922	01/01/2002	Tiedemann et al.					
A	8	6,493,331	12/10/2002	Walton et al.					
AS	9	6,574,211	06/03/2003	Padovani et al.					
A1	10								
FOREIGN PATENT DOCUMENTS									
EXAMINER INITIAL	Ref No	DOCUMENT NUMBER	DATE	COUNTRY			SUB CLASS		
	B1	884862A1	12/16/1998	EP	KABUSHIKI (JP)				
	B2	966125A1	12/22/1999	EP	MOTOROLA (US)				
	ВЗ								
	B4								
		OTHER PRIOR A	RT (Includin	g Author, Title,	Date, Pertine	ent Pa	age, Etc	:.)	
	C1	A. Wittneben: "Ana	lysis and Con	nparison of optim	al Predictive T	ransr	nitter Sel	ection an	d Combining
		Diversity for DECT	A. Wittneben: "Analysis and Comparison of optimal Predictive Transmitter Selection and Combining  Diversity for DECT" Global Telecommunications Conference, 1995. IEEE Singapore 12-17						
	November 1995; New York, USA, 13 November 1995, pages 1527-1531.								
	C2	A. Hottinen et al.: "	A. Hottinen et al.: "Transmit Diversity by Antenna Selection in CDMA Downlink" IEEE International						
		Symposium on Spr	Symposium on Spread Spectrum Techniques and Applications, Vol. 3, 2 September 1998, pages						
		767-770.							
	СЗ								
EXAMINER DATE CONSIDERED									
		if reference considered, who						rough cita	tion if

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. APPLIC			LICATION NO.		
INFORMATION DISCLOSURE		010248C1 Ur		Unknowr	Inknown		
STATEMENT BY APPLICANT		APPLICANT					
(Use several sheets if necessary)		WALTON E	_ :				
DATE MAIL ED. 40/00/0000		FILING DATE		GROUP			
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U.S. PAT		TENT DOCUME	ENTS	<del></del>	<u> </u>	FILING	
INITIAL No DOCUMENT NUMBER	DATE	NAME			SUB CLASS	DATE IF APPRO- PRIATE	
A1 5,903,554	05/11/1999	Saints					
A2 5,265,119	11/23/1993	Gilhousen et al.					
A3 5,056,109	10/08/1991	Gilhousen et al.					
A4 5,799,005	08/25/1998	Soliman			· · · · · · · · · · · · · · · · · · ·		
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A7 6,335,922	01/01/2002	Tiedemann et a					
A8 6,493,331	12/10/2002	Walton et al.					
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A10							
	FOREIGN	PATENT DOCU	JMENTS		· ·		
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